



10/019,618

C of C

CASE PP/1-22042/A/CGM 499/PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeCarminé
Type or print name

Dolores DeCarminé
Signature

5/10/05
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT No. 6,875,831 OF

Group Art Unit: 1713

ANDREAS KRAMER ET AL

Examiner: F. M. Teskin

ISSUED: APRIL 5, 2005

APPLICATION NO: 10/019,618

FILED: DECEMBER 20, 2001

FOR: MONO AND MULTIFUNCTIONAL
ALKOXYAMINES FOR THE PREPARATION
OF FUNCTIONALIZED MACROMERS

Certificate
MAY 18 2005
of Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.322

Patentees recently received a copy of the above-issued patent and discovered that there was an error on the title page in Section (86). A request for a Certificate of Correction is hereby submitted pursuant to 37 CFR § 1.322.

The error originated from the Notice of Acceptance, dated April 10, 2002 which listed the 371 date as December 20, 2000 (a copy is enclosed herewith). A Letter to correct both the Filing Receipt and the Notice was sent to the Patent and Trademark Office (PTO) on May 17, 2002 with enclosures to verify this 371 date. This letter must have corrected the filing date because upon review of the files, it was noted that all the (PTO) papers after that date listed the 371 filing date correctly as: **December 20, 2001 not December 20, 2000.** However, Applicant never received a corrected filing receipt.

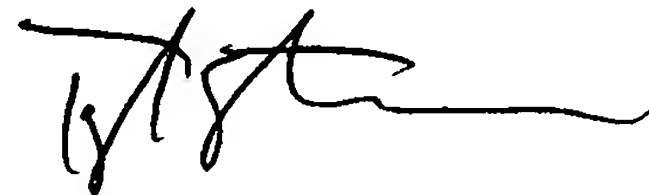
MAY 23 2005

Enclosed is a printout copy of the Patent Application Information taken from the PAIR system, which lists the 371 date as December 20, 2001.

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

As will be noted in the application as filed, this error is not ascribable to the patentees so it is submitted that no fee is due. However, should it be determined that a fee is necessary, Applicants hereby give the Commissioner authorization to debit patentees Deposit Account 03-1935.

Respectfully submitted,



Tyler A. Stevenson
Agent for Applicants
Reg. No. 31,635

Ciba Specialty Chemicals Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591-9005
(914) 785-2783
TAS/dd

Encl: Form PTO 1050 (2), copy of Notice of Acceptance and copy of PAIR Sheet

Date: MAY 10 2005

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,875,831
DATED: : APRIL 5, 2005
INVENTOR(S) : ANDREAS KRAMER ET AL.

It is certified that there is an error in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Section (86) should read:

-- PCT No.: **PCT/EP00/05899**

§ 371 ©(1),

(2), (4) Date: **Dec. 20, 2001** --.

MAILING ADDRESS OF SENDER:

Tyler A. Stevenson
Ciba Specialty Chemicals Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591

PATENT NO. 6,875,831

(No. of add'l. Copies (3))

MAY 23 2005

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,875,831
DATED: : APRIL 5, 2005
INVENTOR(S) : ANDREAS KRAMER ET AL.

It is certified that there is an error in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Section (86) should read:

-- PCT No.: **PCT/EP00/05899**

§ 371 ©(1),

(2), (4) Date: **Dec. 20, 2001** --.

MAILING ADDRESS OF SENDER:

Tyler A. Stevenson
Ciba Specialty Chemicals Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591

PATENT NO. 6,875,831

(No. of add'l. Copies (3))

MAY 23 2005

MAY 23 2005



Case No. PP/1-22042/A/CGM 499/
Application/Serial No. 10/019618 PCT
Mailing Date: 12/20/01 EXPRESS
Due Date: 1/2/02 MAIL

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:

- ☒ Prelim.
☐ Amendment - Fee \$ _____
☐ Appeal Brief - Fee \$ _____
☒ Application Filing Papers - Fee \$ 1,016.00
☒ PCT national stage
☐ Provisional Application
☒ PCT Forms 308+210
☐ Assignment Recordation - Fee \$ _____
☐ Associate Power of Attorney
☐ Claim of Priority
☐ Certified Copy(ies)
☒ Declaration and Power of Attorney (2)
☐ Declaration ☐ Rule 131 ☐ Rule 132
☐ Foreign Filing License request
☐ Formal Drawings
☐ Information Disclosure Statement - Fee \$ _____
☐ Issue Fee Transmittal - Fee \$ _____
☐ Letter/Response
☐ Notice of Appeal - Fee \$ _____
☐ Petition for Extension of Time - Fee \$ _____
☐ Reply Brief
☐ Request for Oral Hearing - Fee \$ _____
☐ Request for Certification of Correction - Fee \$ _____
☐ _____

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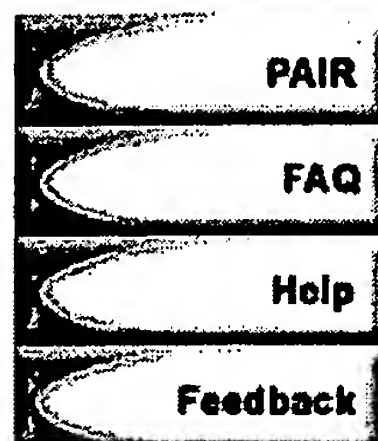
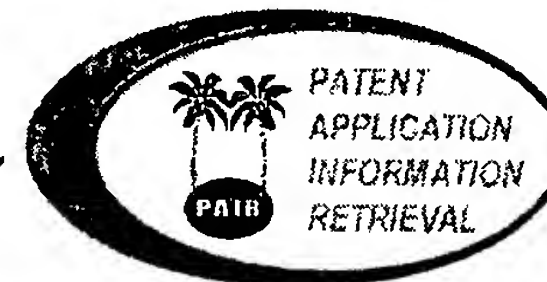
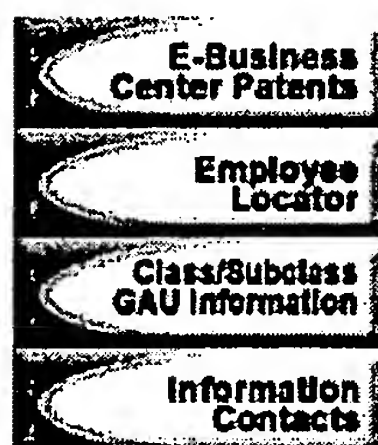
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Printer Friendly Version

Search results as of: 11-29-2004::8:4

Search results for application number:10/019,618			
Application Number:	10/019,618	Customer Number:	324
Filing or 371(c) Date:	12-20-2001	Status:	Allowed – Notice of Allowance Mailed – Issue Revision Completed
Application Type:	Utility	Status Date:	11-18-2004
Examiner Name:	TESKIN, FRED M	Location:	ELECTRONIC
Group Art Unit:	1713	Location Date:	-
Confirmation Number:	1679	Earliest Publication No:	-
Attorney Docket Number:	PP/1-22042/A/CGM 499/PCT	Earliest Publication Date:	-
Class/ Sub-Class:	526/209	Patent Number:	-
First Named Inventor:	Andreas Kramer, Meyriez, (CH)	Issue Date of Patent:	-
Title Of Invention:	MONO AND MULTIFUNCTIONAL ALKOXYAMINES FOR THE PREPARATION OF FUNCTIONALIZED MACROMERS		

Select Search Option

Assignments	Search
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Foreign Priority	
Image File Wrapper	
Patent Term Adjustment History	
Publication Review	

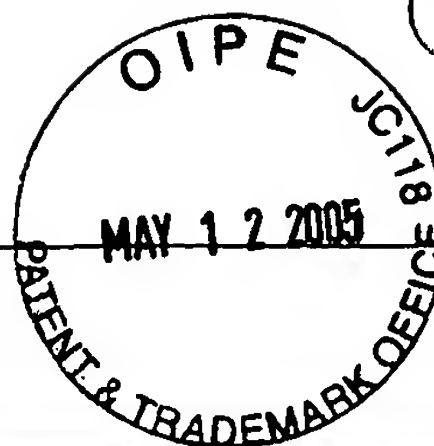
File History	
Date	Contents Description
✓ 11-18-2004	Mail Notice of Allowance
11-16-2004	Issue Revision Completed
11-16-2004	Notice of Allowance Data Verification Completed
11-16-2004	Case Docketed to Examiner in GAU
11-15-2004	Notice of Allowability
11-10-2004	Date Forwarded to Examiner
11-03-2004	Amendment after Final Rejection
11-03-2004	Workflow incoming amendment IFW
09-01-2004	Mail Final Rejection (PTOL - 326)
08-31-2004	Final Rejection

06-28-2004	IFW TSS Processing by Tech Center Complete
06-23-2004	Date Forwarded to Examiner
05-21-2004	Response after Non-Final Action
05-21-2004	Workflow incoming amendment IFW
02-18-2004	Mail Non-Final Rejection
01-26-2004	Non-Final Rejection
11-13-2003	Date Forwarded to Examiner
10-24-2003	Response to Election / Restriction Filed
10-02-2003	Mail Restriction Requirement
10-01-2003	Requirement for Restriction / Election
10-01-2003	Set Re-exam to Ready for Docketing
08-02-2002	Application Dispatched from OIPE
08-02-2002	Notice of DO/EO Acceptance Mailed
08-02-2002	Pre-Exam Office Action Withdrawn
12-20-2001	Initial Exam Team nn
05-07-2002	Information Disclosure Statement (IDS) Filed
12-20-2001	Preliminary Amendment
05-07-2002	Case Docketed to Examiner in GAU
04-19-2002	IFW Scan & PACR Auto Security Review
04-10-2002	Application Dispatched from OIPE
04-10-2002	Notice of DO/EO Acceptance Mailed
04-10-2002	Correspondence Address Change
12-20-2001	Additional Application Filing Fees
02-27-2002	Notice of DO/EO Missing Requirements Mailed
12-20-2000	Initial Exam Team nn

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UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box EC-1
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,618	Andreas Kramer	PP/1-22042/A/CGM 499/PCT

INTERNATIONAL APPLICATION NO.

PCT/EP00/05899

I.A. FILING DATE	PRIORITY DATE
06/26/2000	07/02/1999

000324

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RECEIVED

APR 23 2002

ANDREA DECECCHIS
 DOCKET COORDINATOR
 PATENT DEPARTMENT

CONFIRMATION NO. 1679

371 ACCEPTANCE LETTER



OC000000007821413

Date Mailed: 04/10/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>12/20/2000</u>	<u>12/20/2001</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

pp/1-22042/A/CGM 499/PCT

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)